

# SuperLife Invest

## ANNUAL REPORT FOR THE YEAR TO 31 MARCH 2018

### Details of Scheme

This is the annual report for SuperLife Invest (**Scheme**) for the year to 31 March 2018. The Scheme is a managed fund.

The Scheme's manager is Smartshares Limited (**Smartshares**), which is a wholly-owned subsidiary of NZX Limited, and the Scheme's supervisor is Public Trust.

The latest Product Disclosure Statement (**PDS**) for the Scheme is dated 29 June 2018, and the Scheme is open for applications.

The latest fund update for each fund offered by the Scheme is dated 25 July 2018.

The latest financial statements for the Scheme for the year to 31 March 2018, including the auditor's report relating to those statements, have been lodged with the Registrar of Financial Service Providers.

Copies of the PDS, fund updates, financial statements and auditor's report are available on the offer register and the scheme register at

[www.disclose-register.companiesoffice.govt.nz](http://www.disclose-register.companiesoffice.govt.nz)

### Information on contributions and Scheme participants

	31 March 2018	1 April 2017
Total amount of Scheme participants' accumulations	\$1,982,465,000	\$1,751,811,000
Number of Scheme participants to which that relates	1,702	221

### Changes relating to the Scheme

The Statement of Investment Policies and Objectives (**SIPO**) for the Scheme was changed on 23 November 2017 to update the hedging wording for each of the funds.

During the year to 31 March 2018, the Smartshares Board approved the Ethica fund investing in the DFA Global Sustainability Trust, which is managed by DFA Australia Limited (**DFA**). DFA is a related party of Smartshares for the purposes of the Financial Markets Conduct Act 2013, because Smartshares contracts some of its investment management functions to DFA (as part of the NZ Core Equity Trust, which is another scheme managed by Smartshares). The transaction was conducted on arm's length terms.

### Changes to persons involved in the Scheme

During the year to 31 March 2018, the following changes to the people involved in the Scheme were made:

#### Manager, directors of the manager and key personnel of the manager

Bevan Miller resigned as a director of Smartshares on 27 October 2017.

Mark Peterson was appointed as a director of Smartshares on 27 October 2017 and Lindsay Wright was appointed as a director on 26 June 2018.

Michael Chamberlain resigned as the Head of SuperLife Funds Management on 31 December 2017 and Aaron Jenkins resigned as the Head of NZX Funds Management on 2 February 2018.

Hugh Stevens was appointed as the Smartshares Chief Executive Officer on 29 January 2018.

#### Supervisor and directors of the supervisor

Ian Fitzgerald was appointed as a director of Public Trust on 22 May 2017.

Huei Min (Lyn) Lim resigned as a director of Public Trust on 30 June 2017 and Sarah Roberts resigned as a director on 31 August 2017.



## How to find further information

Further information relating to the Scheme and investment options, including the PDS, fund updates, financial statements, auditor's report and SIPO, is available on the offer register and the scheme register at [www.disclose-register.companiesoffice.govt.nz](http://www.disclose-register.companiesoffice.govt.nz). A copy of the information on the offer register or scheme register is available on request to the Registrar of Financial Service Providers.

The information set out above is available at [www.superlife.co.nz](http://www.superlife.co.nz) or by contacting Smartshares. You can obtain an estimate of the value of your investment online or via a mobile app or by contacting Smartshares. This information is available free of charge. See below for Smartshares' contact details.

You will be sent annual tax statements, which will include the amount of PIE income attributed to you and the amount of PIE tax paid at your prescribed investor rate (PIR).

You can obtain general information about the Scheme and investment options at [www.superlife.co.nz](http://www.superlife.co.nz).

## Contact details and complaints

### Manager

Complaints about your investment or the Scheme can be made to Smartshares at:

Complaints – SuperLife  
Smartshares Limited  
PO Box 105262  
Auckland 1143

Telephone: 0800 27 87 37  
Email: [superlife@superlife.co.nz](mailto:superlife@superlife.co.nz).

### Supervisor

If you make a complaint to Smartshares, and the complaint cannot be resolved, you may refer it to the supervisor at:

Complaints  
Public Trust  
PO Box 1598  
Shortland Street  
Auckland 1140

Telephone: 0800 371 471  
Email: [cts.enquiry@publictrust.co.nz](mailto:cts.enquiry@publictrust.co.nz)

### Independent dispute resolution scheme

Smartshares is a member of the Financial Services Complaints Limited Scheme (the supervisor is also a member), which is an independent dispute resolution scheme. If you make a complaint to Smartshares (or the supervisor), and the complaint cannot be resolved, you may refer it to Financial Services Complaints Limited at:

Financial Services Complaints Limited  
PO Box 5967  
Wellington 6140

Telephone: 0800 347 257  
Email: [complaints@fscl.org.nz](mailto:complaints@fscl.org.nz)

The Financial Services Complaints Limited Scheme will not charge you a fee to investigate or resolve a complaint.